

AXIS/CO/CS/314/2020-21

10th October 2020

Shri Kautuk Upadhyay
The Chief Manager,
Listing & Compliance Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, "G" Block
Bandra-Kurla Complex
Bandra (E), Mumbai – 400 051

Shri Khushro Bulsara
The Senior General Manager –
Listing Department
BSE Limited
1st Floor, New Trading Ring, Rotunda Building
P. J. Towers, Dalal Street
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

SUB: COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27(2) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE QUARTER ENDED 30TH SEPTEMBER 2020 - AXIS BANK LIMITED

We are pleased to enclose a copy of the Compliance Report on Corporate Governance for the quarter ended 30th September, 2020, pursuant to regulation 27(2) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

You are requested to take the above on record.

Yours faithfully,
For Axis Bank Limited

Girish V. Koliyote
Company Secretary

Encl.: As above

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General information about company

Scrip code	532215
NSE Symbol	AXISBANK
MSEI Symbol	NOTLISTED
ISIN	INE238A01034
Name of the entity	AXIS BANK LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Half Yearly
Date of Report	30-09-2020
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 24(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Rakesh Makhija	AEPMD3436D	00117962	Non-Executive - Independent Director	Chairperson		24-07-1951	No		27-10-2015	27-10-2015		59	2	2	5	2		
2	Mr	Amitabh Chaudhry	ABYPC2496D	00531120	Executive Director	Not Applicable	CEO-MD	02-07-1964	No		01-01-2019	01-01-2019			1	0	0	0		
3	Mr	Rohit Bhagat	ACAPB6287E	02968574	Non-Executive - Independent Director	Not Applicable		03-04-1964	No		16-01-2013	01-04-2019		18	1	1	0	0		
4	Mr	S. Vishvanathan	ABRPV2473J	02255828	Non-Executive - Independent Director	Not Applicable		30-04-1954	No		11-02-2015	11-02-2020		8	2	2	5	1		
5	Mrs	Ketaki Bhagwati	ARNPB1105H	07367868	Non-Executive - Independent Director	Not Applicable		26-01-1964	No		19-01-2016	19-01-2016		56	2	2	1	0		
6	Mr	B. Babu Rao	ACFPB1735F	00425793	Non-Executive - Nominee Director	Not Applicable		05-12-1958	No		19-01-2016	26-07-2017			1	0	3	2		
7	Mr	Stephen Pagliuca	ZZZZ29999Z	07995547	Non-Executive - Nominee Director	Not Applicable		16-01-1955	No		19-12-2017	19-12-2017			1	0	0	0	155	
8	Mr	Girsh Paranjpe	ABGPP8377C	02172725	Non-Executive - Independent Director	Not Applicable		20-03-1958	No		02-11-2018	02-11-2018		23	2	2	3	2		
9	Mr	Tharavanat Chandrasekharan Suseelkumar	ABYPS3067L	06453310	Non-Executive - Nominee Director	Not Applicable		01-02-1961	No		01-07-2020	01-07-2020			1	0	1	0		
10	Mrs	Meena Ganesh	ABPPG1456L	00528252	Non-Executive - Independent Director	Not Applicable		31-08-1963	No		01-08-2020	01-08-2020		2	3	3	0	0		
11	Mr	Rajiv Anand	AAQPA2997A	02541753	Executive Director	Not Applicable		03-01-1966	No		04-08-2016	04-08-2019			1	0	0	0		
12	Mr	Rajesh Dahiya	ADXPD9316Q	07508488	Executive Director	Not Applicable		22-08-1967	No		04-08-2016	04-08-2019			1	0	1	0		

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Disclosure of notes on composition of committees explanatory

[Add Notes](#)

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2020.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2020 to September 30.2020.

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02172725	Girsh Paranjpe	Non-Executive - Independent Director	Chairperson	30-01-2019		
2	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	11-02-2015		
3	00425793	B. Babu Rao	Non-Executive - Nominee Director	Member	17-10-2017		
4	00117962	Rakesh Makhija	Non-Executive - Independent Director	Member	19-01-2016		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02968574	Rohit Bhagat	Non-Executive - Independent Director	Chairperson	24-07-2015		
2	07995547	Stephen Pagliuca	Non-Executive - Nominee Director	Member	20-10-2018		
3	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	12-10-2016		
4	02172725	Girsh Paranjpe	Non-Executive - Independent Director	Member	25-08-2020		
5	00528252	Meena Ganesh	Non-Executive - Independent Director	Member	25-08-2020		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00425793	B. Babu Rao	Non-Executive - Nominee Director	Chairperson	19-01-2016		
2	07508488	Rajesh Dahiya	Executive Director	Member	12-10-2016		
3	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	20-10-2018		
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07367868	Ketaki Bhagwati	Non-Executive - Independent Director	Chairperson	19-01-2016		
2	00531120	Amitabh Chaudhry	Executive Director	Member	01-01-2019		
3	02968574	Rohit Bhagat	Non-Executive - Independent Director	Member	21-08-2014		
4	02172725	Girsh Paranjpe	Non-Executive - Independent Director	Member	25-08-2020		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00117692	Rakesh Makhija	Non-Executive - Independent Director	Chairperson	16-09-2019		
2	07508488	Rajesh Dahiya	Executive Director	Member	12-10-2016		
3	02541753	Rajiv Anand	Executive Director	Member	24-10-2016		
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
<div style="display: flex; justify-content: center; gap: 10px;"> Add Delete </div>						
1	27-04-2020			Yes	11	5
2	02-07-2020			Yes	12	5
3	21-07-2020	18		Yes	12	5

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Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	Add	Delete						
1	Audit Committee	28-04-2020				Yes	4	3
2	Audit Committee	18-06-2020				Yes	4	3
3	Audit Committee	21-07-2020	32			Yes	4	3
4	Audit Committee	27-08-2020	36			Yes	4	3
5	Audit Committee	23-09-2020	26			Yes	4	3
6	Corporate Social Responsibility Committee	17-06-2020				Yes	3	1
7	Corporate Social Responsibility Committee	21-09-2020				Yes	3	1
8	Nomination and remuneration committee	27-04-2020				Yes	3	2
9	Nomination and remuneration committee	29-05-2020				Yes	3	2
10	Nomination and remuneration committee	20-07-2020				Yes	3	2
11	Nomination and remuneration committee	24-09-2020				Yes	4	4
12	Risk Management Committee	27-04-2020				Yes	3	2
13	Risk Management Committee	17-07-2020				Yes	3	2
14	Stakeholders Relationship Committee	24-04-2020				Yes	3	1

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V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				Add Notes

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Signatory Details

Name of signatory	Girish V. Koliyote
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	10-10-2020

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